

## OPTIMAL INEQUALITIES FOR SUBMANIFOLDS IN QUATERNION-SPACE-FORMS WITH SEMI-SYMMETRIC METRIC CONNECTION

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### Abstract

We establish a version of B.-Y. Chen's inequality for totally real submanifolds of quaternion-space-forms with semi-symmetric metric connection. Also for quaternion CR-submanifolds of quaternion-space-forms with semi-symmetric metric connection we obtain an optimal inequality concerning the Ricci curvature.

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## 1 Preliminaries

Let  $\tilde{M}$  be an  $m$ -dimensional Riemannian manifold with the Riemannian metric  $g$ , the linear connection  $\tilde{\nabla}$  and the Riemannian connection  $\dot{\tilde{\nabla}}$ . For the vector fields  $\tilde{X}, \tilde{Y}$  on  $\tilde{M}$  the torsion tensor field  $\tilde{T}$  of the linear connection  $\tilde{\nabla}$  is defined by

$$\tilde{T}(\tilde{X}, \tilde{Y}) = \tilde{\nabla}_{\tilde{X}}\tilde{Y} - \tilde{\nabla}_{\tilde{Y}}\tilde{X} - [\tilde{X}, \tilde{Y}]. \quad (1)$$

A linear connection  $\tilde{\nabla}$  is said to be *semi-symmetric connection* if the torsion tensor  $\tilde{T}$  of the connection  $\tilde{\nabla}$  satisfies

$$\tilde{T}(\tilde{X}, \tilde{Y}) = \Phi(\tilde{Y})\tilde{X} - \Phi(\tilde{X})\tilde{Y}, \quad (2)$$

where  $\Phi$  is a 1-form on  $\tilde{M}$ . Further, if  $\tilde{\nabla}$  satisfies the condition

$$\tilde{\nabla}g = 0,$$

then  $\tilde{\nabla}$  is called a *semi-symmetric metric connection* [14]. K. Yano obtained in [14] a relation between the semi-symmetric metric connection  $\tilde{\nabla}$  and the Riemannian connection  $\dot{\tilde{\nabla}}$  which is given by

$$\tilde{\nabla}_{\tilde{X}}\tilde{Y} = \dot{\tilde{\nabla}}_{\tilde{X}}\tilde{Y} + \Phi(\tilde{Y})\tilde{X} - g(\tilde{X}, \tilde{Y})P, \quad (3)$$

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where  $P$  is a vector field given by  $g(P, \tilde{X}) = \Phi(\tilde{X})$  for any vector field  $\tilde{X}$  on  $\tilde{M}$ . We denote by  $\tilde{R}$  and  $\dot{\tilde{R}}$  the curvature tensors associated to  $\tilde{\nabla}$  and  $\dot{\tilde{\nabla}}$ , respectively.

Let  $M$  be an  $n$ -dimensional Riemannian submanifold of the Riemannian manifold  $\tilde{M}$  with the induced semi-symmetric metric connection  $\nabla$  and the induced Riemannian connection  $\dot{\nabla}$ .

The Gauss formulae are

$$\begin{aligned} \tilde{\nabla}_X Y &= \nabla_X^Y + h(X, Y) \\ \dot{\tilde{\nabla}}_X Y &= \dot{\nabla}_X^Y + \dot{h}(X, Y), \end{aligned}$$

for any  $X, Y$  vector fields on  $M$ , where  $h$  is a  $(0, 2)$  symmetric tensor on  $M$  and  $\dot{h}$  is the second fundamental form associated to the Riemannian connection  $\dot{\nabla}$  [12].

We denote by  $R$  and  $\dot{R}$  the curvature tensors associated to  $\nabla$  and  $\dot{\nabla}$ , respectively. Let  $\tilde{M}$  be an  $4m$ -dimensional Riemannian manifold with the Riemannian metric  $g$ .  $\tilde{M}$  is called a quaternionic Kaehlerian manifold if there exists a 3-dimensional vector space  $V$  of tensors of type  $(1, 1)$  with local basis of almost Hermitian structure  $I, J$  and  $K$  such that

- (a)  $IJ = -JI = K, JK = -KJ = I, KI = -IK = J, I^2 = J^2 = K^2 = -1$ ,
- (b) for any local cross-section  $\varphi$  of  $V$ ,  $\dot{\tilde{\nabla}}_{\tilde{X}}\varphi$  is also a cross-section of  $V$ , where  $\tilde{X}$  is an arbitrary vector field on  $\tilde{M}$  and  $\dot{\tilde{\nabla}}$  the Riemannian connection on  $\tilde{M}$ .

The condition (b) is equivalent to the following condition:

- (b') there exist the local 1-forms  $p, q$  and  $r$  such that

$$\begin{aligned} \dot{\tilde{\nabla}}_{\tilde{X}} I &= r(\tilde{X})J - q(\tilde{X})K \\ \dot{\tilde{\nabla}}_{\tilde{X}} J &= -r(\tilde{X})I + p(\tilde{X})K \\ \dot{\tilde{\nabla}}_{\tilde{X}} K &= q(\tilde{X})I - p(\tilde{X})J. \end{aligned} \tag{4}$$

Let  $\tilde{X}$  be a unit vector on  $\tilde{M}$ . Then  $\tilde{X}, I\tilde{X}, J\tilde{X}$  and  $K\tilde{X}$  form an orthonormal frame on  $\tilde{M}$ , denoting by  $Q(\tilde{X})$  the 4-plane spanned by them. For any two orthonormal vectors  $\tilde{X}, \tilde{Y}$  on  $\tilde{M}$ , we denote by  $\pi(\tilde{X}, \tilde{Y})$  the 2-plane spanned by  $\tilde{X}$  and  $\tilde{Y}$ . If  $Q(\tilde{X})$  and  $Q(\tilde{Y})$  are orthogonal, the plane  $\pi(\tilde{X}, \tilde{Y})$  is called a *totally real plane*. Any 2-plane in  $Q(\tilde{X})$  is called a *quaternionic plane*. A sectional curvature of a quaternionic plane  $\pi$  is called the *quaternionic sectional curvature* of  $\pi$ . A quaternionic Kaehlerian manifold is a *quaternion-space-form* if its quaternionic sectional curvatures are equal to a constant  $4c$ . We denote an  $4m$ -dimensional quaternion-space-form by  $\tilde{M}(4c)$ . A quaternionic Kaehlerian manifold  $\tilde{M}$  is a quaternion-space-form if and only if its curvature tensor  $\dot{\tilde{R}}$  has the following form [8]:

$$\begin{aligned} \dot{\tilde{R}}(\tilde{X}, \tilde{Y})\tilde{Z} &= c\{g(\tilde{Y}, \tilde{Z})\tilde{X} - g(\tilde{X}, \tilde{Z})\tilde{Y} + g(I\tilde{Y}, \tilde{Z})I\tilde{X} - g(I\tilde{X}, \tilde{Z})I\tilde{Y} + 2g(\tilde{X}, I\tilde{Y})I\tilde{Z} \\ &\quad + g(J\tilde{Y}, \tilde{Z})J\tilde{X} - g(J\tilde{X}, \tilde{Z})J\tilde{Y} + 2g(\tilde{X}, J\tilde{Y})J\tilde{Z} \\ &\quad + g(K\tilde{Y}, \tilde{Z})K\tilde{X} - g(K\tilde{X}, \tilde{Z})K\tilde{Y} + 2g(\tilde{X}, K\tilde{Y})K\tilde{Z}\} \end{aligned} \tag{5}$$

for the vectors  $\tilde{X}, \tilde{Y}, \tilde{Z}$  tangent to  $\tilde{M}(4c)$ .

Let  $(M, g)$  be an  $n$ -dimensional Riemannian submanifold of the quaternion-space-form

$\tilde{M}(4c)$ . A submanifold  $M$  is called a *totally real submanifold* of  $\tilde{M}(4c)$  if any 2-plane  $\pi(X, Y)$  of  $M$  (spanned by any orthonormal vectors  $X, Y$  of  $M$ ) is contained by a totally real plane of  $\tilde{M}(4c)$ . Also  $Q(X)$  and  $Q(Y)$  are orthogonal and  $g(X, \varphi Y) = g(\psi X, Y) = 0$  for  $\varphi, \psi = I, J$  or  $K$ . Consequently, if  $M$  is a totally real submanifold of  $\tilde{M}(4c)$ , then  $\varphi(TM) \subset T^\perp M$  for  $\varphi = I, J$  or  $K$ , where  $T^\perp M$  is the normal bundle of  $M$  in  $\tilde{M}(4c)$ [5].

By (5) results the following relation (see [12]):

$$\dot{\tilde{R}}(X, Y, Z, W) = c\{g(X, W)g(Y, Z) - g(X, Z)g(Y, W)\}, \tag{6}$$

for any  $X, Y, Z, W$  vector fields on  $M$ .

If  $\alpha$  is  $(0, 2)$ -tensor such that:

$$\alpha(X, Y) = (\dot{\nabla}_X \Phi)Y - \Phi(X)\Phi(Y) + \frac{1}{2}\Phi(P)g(X, Y),$$

for any  $X, Y$  vector fields of  $M$  it occurs (see [7]):

$$\begin{aligned} \tilde{R}(X, Y, Z, W) = \dot{\tilde{R}}(X, Y, Z, W) & - \alpha(Y, Z)g(X, W) + \alpha(X, Z)g(Y, W) \\ & - \alpha(X, W)g(Y, Z) + \alpha(Y, W)g(X, Z). \end{aligned} \tag{7}$$

By (6) and (7) we obtain:

$$\begin{aligned} \dot{\tilde{R}}(X, Y, Z, W) & = c\{g(X, W)g(Y, Z) - g(X, Z)g(Y, W)\} \\ & - \alpha(Y, Z)g(X, W) + \alpha(X, Z)g(Y, W) \\ & - \alpha(X, W)g(Y, Z) + \alpha(Y, W)g(X, Z). \end{aligned} \tag{8}$$

The Gauss equation is

$$\dot{\tilde{R}}(X, Y, Z, W) = \dot{R}(X, Y, Z, W) + g(\dot{h}(X, Z), \dot{h}(Y, W)) - g(\dot{h}(X, W), \dot{h}(Y, Z)). \tag{9}$$

Let  $\pi \subset T_p M$  and  $\pi^\perp \subset T_p^\perp M$  be plane sections for any  $p$  in  $M$  and  $K(\pi)$  the sectional curvature of  $M$  associated to induced semi-symmetric metric connection  $\nabla$ .

In  $\tilde{M}(4c)$  we can choose a local orthonormal frame:

$$\begin{aligned} e_1, \dots, e_n, e_{n+1}, \dots, e_m; e_{I(1)} = Ie_1, \dots, e_{I(m)} = Ie_m; \\ e_{J(1)} = Je_1, \dots, e_{J(m)} = Je_m; e_{K(1)} = Ke_1, \dots, e_{K(m)} = Ke_m = e_{4m}, \end{aligned} \tag{10}$$

such that, restricting to  $M$ ,  $e_1, \dots, e_n$  are tangent to  $M$ .

We denote by  $\tau$  the scalar curvature of  $M$  defined as  $\tau(p) = \sum_{1 \leq i < j \leq n} K(e_i \wedge e_j)$ , by  $\lambda$  the trace of  $\alpha$ . If we write  $h_{ij}^r = g(h(e_i, e_j), e_r)$ , we have  $h_{jk}^{\varphi(i)} = h_{ik}^{\varphi(j)} = h_{ji}^{\varphi(k)}$  where  $i, j, k \in \{1, \dots, n\}$ ,  $r \in \{n+1, \dots, I(1), \dots, K(m)\}$ ,  $\varphi \in \{I, J, K\}$ . The squared length of  $h$  is

$$\|h\|^2 = \sum_{1 \leq i < j \leq n} g(h(e_i, e_j), h(e_i, e_j)),$$

and the mean curvature vector of  $M$  associated to  $\nabla$  is  $\vec{H} = \frac{1}{n} \sum_{i=1}^n h(e_i, e_i)$ , denoting by  $H$  the mean curvature of  $M$  associated to  $\nabla$ . Similarly, the mean curvature vector of  $M$  associated to  $\tilde{\nabla}$  is  $\vec{\tilde{H}} = \frac{1}{n} \sum_{i=1}^n \tilde{h}(e_i, e_i)$ , denoting by  $\tilde{H}$  the mean curvature of  $M$  associated to  $\tilde{\nabla}$ .

A submanifold  $M$  of a quaternion Kaehler manifold  $\tilde{M}$  is called a *quaternion CR-submanifold* if there exist two orthogonal complementary distributions  $D_p$  and  $D_p^\perp$  such that  $D_p$  is invariant under quaternion structure, that is,  $\varphi(D_p) \subseteq D_p, i = 1, 2, 3, \forall p \in M$  and  $D_p^\perp$  is totally real, that is,  $\varphi_i(D_p^\perp) \subseteq T_p M, i = 1, 2, 3$ , where we denoted  $\varphi_1 = I, \varphi_2 = J$  and  $\varphi_3 = K$ . A submanifold  $M$  of a quaternion Kaehler manifold is a quaternion submanifold if  $\dim D^\perp = 0$ . Let  $\dim D = 4s$  and  $\dim D^\perp = t$ . For any  $X$  tangent to  $M$ , we put (see[11])

$$\varphi_i X = T_i X + F_i X, i = 1, 2, 3, \tag{11}$$

where  $T_i X$  (resp.  $F_i X$ ) denotes tangential (resp. normal) component of  $\varphi_i X$ .

Recently A. Mihai and C. Ozgur established in [10] a Chen inequality for submanifolds of real space forms with a semi-symmetric metric connection. In the following we obtain a Chen inequality for totally real submanifolds in quaternion-space-forms with semi-symmetric metric connection and we estimate the Ricci curvature for quaternion CR-submanifolds of quaternion-space-forms with semi-symmetric metric connection, referring to [5] and [11] for basic results.

## 2 A Chen inequality for totally real submanifolds in quaternion-space-forms with semi-symmetric metric connection

We first recall an algebraic lemma (see [2]):

**Lemma 1.** *Let  $a_1, \dots, a_k, c$  be  $k + 1$  ( $k \geq 2$ ) real numbers such that:*

$$\left( \sum_{i=1}^k a_i \right)^2 = (k - 1) \left( \sum_{i=1}^k a_i^2 + c \right). \tag{12}$$

*Then  $2a_1 a_2 \geq c$ , with equality holding if and only if  $a_1 + a_2 = a_3 = \dots = a_k$ .*

Now we can prove the following inequality.

**Theorem 1.** *Let  $M$  be an  $n$ -dimensional ( $n \geq 2$ ) totally real submanifold of an  $4m$ -dimensional quaternion-space-form  $\tilde{M}(4c)$  with semi-symmetric metric connection  $\tilde{\nabla}$ . Then*

$$\tau(p) - K(\pi) \leq \frac{n^2(n - 2)}{2(n - 1)} \|H\|^2 + \frac{1}{2}(n + 1)(n - 2)c - (n - 2)\lambda - \text{trace}(\alpha|\pi^\perp) \tag{13}$$

*Proof.* The Gauss equation (see [12]) is:

$$\tilde{R}(X, Y, Z, W) = R(X, Y, Z, W) + g(h(X, Z), h(Y, W)) - g(h(Y, Z), h(X, W)). \tag{14}$$

For  $X = W = e_i$  and  $Y = Z = e_j$ , with  $i \neq j \in \{1, \dots, n\}$ , (14) becomes:

$$\begin{aligned} \tilde{R}(e_i, e_j, e_j, e_i) &= c\{g(e_i, e_i)g(e_j, e_j) - g(e_i, e_j)g(e_j, e_i)\} \\ &\quad - \alpha(e_j, e_j)g(e_i, e_i) + \alpha(e_i, e_j)g(e_j, e_i) \\ &\quad - \alpha(e_i, e_i)g(e_j, e_j) + \alpha(e_j, e_i)g(e_i, e_i) \\ &= c - \alpha(e_j, e_j) - \alpha(e_i, e_i). \end{aligned} \tag{15}$$

By (14) and (15) it results

$$c - \alpha(e_j, e_j) - \alpha(e_i, e_i) = R(e_i, e_j, e_j, e_i) + g(h(e_i, e_j), h(e_i, e_j)) - g(h(e_i, e_i), h(e_j, e_j)). \tag{16}$$

We obtain by (16)

$$n(n-1)c - 2(n-1)\lambda = 2\tau - n^2 \|H\|^2 + \|h\|^2. \tag{17}$$

Denoting by

$$\epsilon = 2\tau - \frac{n^2(n-2)}{n-1} \|H\|^2 + 2(n-1)\lambda - (n+1)(n-2)c \tag{18}$$

and substituing (17) in (18) we obtain:

$$n^2 \|H\|^2 = (n-1)(\|h\|^2 + \epsilon - 2c). \tag{19}$$

Let  $e_{n+1} = \frac{H}{\|H\|}$  be the unit vector in the direction H, then (19) can be rewritten as

$$\left(\sum_{i=1}^n h_{ii}^{n+1}\right)^2 = (n-1) \left\{ \sum_{i=1}^n (h_{ii}^{n+1})^2 + \sum_{i \neq j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{4m} \sum_{i,j=1}^n (h_{ij}^r)^2 - 2c + \epsilon \right\}. \tag{20}$$

Using the Chen's lemma, we get the following inequality:

$$2h_{11}^{n+1}h_{22}^{n+1} \geq \sum_{i \neq j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{4m} \sum_{i,j=1}^n (h_{ij}^r)^2 - 2c + \epsilon. \tag{21}$$

Let  $\pi \subset T_p M$  be a plane section, with p in M, spanned by the orthonormal vectors  $e_1$  and  $e_2$ . Then by (14) the sectional curvature is given by

$$\begin{aligned} K(\pi) &= c + \sum_{r=n+1}^{4m} [h_{11}^r h_{22}^r - (h_{12}^r)^2] - \alpha(e_1, e_1) - \alpha(e_2, e_2) \\ &\geq c + \frac{1}{2} \sum_{i \neq j} (h_{ij}^{n+1})^2 + \frac{1}{2} \sum_{r=n+2}^{4m} \sum_{i,j=1}^n (h_{ij}^r)^2 - c + \frac{\epsilon}{2} \\ &\quad + \sum_{r=n+2}^{4m} h_{11}^r h_{11}^r - \sum_{r=n+1}^{4m} (h_{12}^r)^2 - \alpha(e_1, e_1) - \alpha(e_2, e_2) \\ &\geq \frac{\epsilon}{2} - \alpha(e_1, e_1) + \alpha(e_2, e_2), \end{aligned}$$

the inequality (13) being obtained, where  $\alpha(e_1, e_1) + \alpha(e_2, e_2) = \lambda - trace(\alpha|\pi^\perp)$ . The inequality (13) is known as Chen inequality.  $\square$

**Remark 1.** If  $P$  is a tangent vector field on  $M$  (see [6]), then  $H = \dot{H}, h = \dot{h}$ . In these conditions the equality case of (13) holds at a point  $p \in M$  if and only if, with respect to a suitable orthonormal basis  $\{e_1, \dots, e_n, e_{n+1}, \dots, e_{4m}\}$  at  $p$ , the shape operators  $A_r = A_{e_r}$  take the following forms:

$$A_{n+1} = \begin{pmatrix} a & 0 & 0 & 0 & \cdots & 0 \\ 0 & b & 0 & 0 & \cdots & 0 \\ 0 & 0 & \mu & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & \mu \end{pmatrix}, \quad a + b = \mu, \quad (22)$$

and

$$A_r = \begin{pmatrix} h_{11}^r & h_{12}^r & 0 & \cdots & 0 \\ h_{12}^r & -h_{11}^r & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 0 \end{pmatrix}, \quad n + 2 \leq r \leq 4m. \quad (23)$$

From the proof of theorem the relations (22) and (23) occur having conditions:

$$\begin{aligned} h_{1j}^{n+1} &= 0, h_{2j}^{n+1} = 0, j > 2, \\ h_{ij}^{n+1} &= 0, i \neq j > 2, \\ h_{1j}^r &= h_{2j}^r = h_{ij}^r = 0, r \in \{n + 2, \dots, 4m\}, i, j \in \{3, \dots, n\}, \\ h_{11}^r + h_{22}^r &= 0, r \geq n + 2, \\ h_{11}^{n+1} + h_{22}^{n+1} &= h_{33}^{n+1} = \dots = h_{nn}^{n+1}. \end{aligned}$$

**Corollary 1.** Let  $M$  be an  $n$ -dimensional totally real submanifold of a quaternion-space-form  $\tilde{M}(4c)$  with semi-symmetric metric connection  $\tilde{\nabla}$ . If the equality in (13) holds (in the conditions of remark above) and  $\xi$  is a normal vector at a point  $p$  of  $M$ , then the operator  $A_\xi$  has at most 3 eigenspaces and the dimension of one of the eigenspaces is at least  $n - 2$ . Moreover, one of the following cases occurs:

1.  $A_\xi = 0$ , when  $a = b = 0$  or  $h_{11}^r = h_{12}^r = 0$  for some  $r$  where  $\xi = e_{n+1}$  or  $e_r$ .
2.  $A_\xi$  has a two-dimensional eigenspace with a non-zero real number  $\alpha$  as the eigenvalue and an  $(n - 2)$ -dimensional eigenspace with eigenvalue  $2\alpha$ . This occurs only when  $a = b = \alpha, \mu = 2\alpha, \xi = e_{n+1}$ .
3.  $A_\xi$  has an one-dimensional eigenspace with eigenvalue zero and an  $(n - 1)$ -dimensional eigenspace with nonzero eigenvalue  $\alpha$ . This occurs when  $\alpha = \mu, b = 0, \xi = e_{n+1}$ .
4.  $A_\xi$  has an eigenspace with the eigenvalue  $\alpha$ , another eigenspace with the eigenvalue  $\beta$ , both of them are one-dimensional, and an  $(n - 2)$ -dimensional eigenspace with the eigenvalue  $\alpha + \beta$ . This occurs when  $\xi = e_{n+1}, \alpha \neq \beta$  or  $\xi = e_r$  for some  $r$  with  $\alpha = -\beta = \pm\sqrt{(h_{11}^r)^2 + (h_{12}^r)^2}$ .

### 3 On Ricci curvature of quaternion CR-submanifolds in quaternion space forms with semi-symmetric metric connection

**Theorem 2.** *Let  $M$  be an  $n$ -dimensional quaternion CR-submanifold of an  $4m$ -dimensional quaternion-space-form  $\widetilde{M}(4c)$  with semi-symmetric metric connection  $\widetilde{\nabla}$ . Then*

a) *For each unit vector  $X \in D_p^\perp$ , we have*

$$\|H\|^2 \geq \frac{4}{n^2} [Ric(X) - (n-1)c + (2n-3)\lambda - (n-2)\alpha(X, X)]. \quad (24)$$

b) *For each unit vector  $X \in D_p$ , we have*

$$\|H\|^2 \geq \frac{4}{n^2} [Ric(X) - (n+8)c + (2n-3)\lambda - (n-2)\alpha(X, X)]. \quad (25)$$

c) *If  $H(p) = 0$ , then a unit tangent vector  $X$  at  $p$  satisfies the equality case of (24) and (25), respectively, if and only if  $X \in D_p^\perp \cap N_p$  (respectively  $X \in D_p \cap N_p$ ).*

*Proof.* Let  $M$  be a quaternion CR-submanifold of a quaternion space form  $\widetilde{M}(4c)$  with semi-symmetric metric connection  $\widetilde{\nabla}$ . Then using equation (11) in Gauss equation we have for any vector fields  $X, Y, Z, W$  tangent to  $M$

$$\begin{aligned} R(X, Y, Z, W) &= c\{g(Y, Z)g(X, W) - g(X, Z)g(Y, W) \\ &+ \sum_{i=1}^3 [g(T_i Y, Z)g(T_i X, W) - g(T_i X, Z)g(T_i Y, W) + 2g(X, T_i Y)g(T_i Z, W)]\} \\ &+ g(h(X, W), h(Y, Z)) - g(h(X, Z), h(Y, W)) - \alpha(Y, Z)g(X, W) + \alpha(X, Z)g(Y, W) \\ &\quad - \alpha(X, W)g(Y, Z) + \alpha(Y, W)g(X, Z) + A(X, Y, Z, W), \end{aligned}$$

where we denoted

$$\begin{aligned} A(X, Y, Z, W) &= \sum_{i=1}^3 [-\alpha(T_i X, W)g(T_i Y, Z) - \alpha(T_i Y, Z)g(T_i X, W) \\ &\quad + \alpha(T_i X, Z)g(T_i Y, W) + \alpha(T_i Y, W)g(T_i X, Z) \\ &\quad - 2\alpha(T_i Z, W)g(X, T_i Y) - 2\alpha(X, T_i Y)g(T_i X, Z)]. \end{aligned}$$

Let  $p \in M$  and an orthonormal basis  $\{e_1, \dots, e_n = X\}$  be in  $T_p M$ . Then the Ricci

tensor  $S(X, Y)$  is given by

$$\begin{aligned}
S(X, Y) &= \sum_{j=1}^n R(e_j, X, Y, e_j) = c \sum_{j=1}^n \{g(X, Y)g(e_j, e_j) - g(e_j, Y)g(X, e_j) \\
&+ \sum_{i=1}^3 [g(T_i X, Y)g(T_i e_j, e_j) - g(T_i e_j, Y)g(T_i X, e_j) + 2g(e_j, T_i X)g(T_i Y, e_j)]\} \\
&+ \sum_{j=1}^n \{-\alpha(X, Y)g(e_j, e_j) + \alpha(e_j, Y)g(X, e_j) - \alpha(e_j, e_j)g(X, Y) + \alpha(X, e_j)g(e_j, Y) \\
&\quad + A(e_j, X, Y, e_j) + g(h(e_j, e_j), h(X, Y)) - g(h(e_j, Y), h(X, e_j))\} \\
&= c\{(n-1)g(X, Y) + 3 \sum_{i=1}^3 g(T_i X, T_i Y)\} + \sum_{j=1}^n \{g(h(e_j, e_j), h(X, Y)) \\
&\quad - g(h(e_j, Y), h(X, e_j)) + A(e_j, X, Y, e_j) + (n-2)\alpha(X, Y) + \lambda\}.
\end{aligned}$$

The scalar curvature  $\rho$  is given by

$$\rho = \sum_{l=1}^n S(e_l, e_l) = c[(n-1)n + 12s + \sum_{l=1}^n \sum_{j=1}^n A(e_j, e_l, e_l, e_j)] + n^2 \|H\|^2 - \|h\|^2 - 2\lambda n + 2\lambda.$$

Denoting by

$$\delta = \rho - n(n-1)c - 12sc - \frac{n^2}{2} \|H\|^2 + 2\lambda n - 2\lambda - \sum_{l=1}^n \sum_{j=1}^n A(e_j, e_l, e_l, e_j),$$

we obtain

$$n^2 \|H\|^2 = 2(\delta + \|h\|^2). \tag{26}$$

With respect to the above orthogonal basis, equation (26) becomes

$$\left( \sum_{i=1}^n h_{ii}^{n+1} \right)^2 = 2 \left\{ \delta + \sum_{i=1}^n (h_{ii}^{n+1})^2 + \sum_{i \neq j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{4m} \sum_{i,j=1}^n (h_{ij}^r)^2 \right\}. \tag{27}$$

Applying Lemma 1 in equation (27) we get

$$\sum_{1 \leq \alpha \neq \beta \leq n-1} h_{\alpha\alpha}^{n+1} h_{\beta\beta}^{n+1} \geq \delta + 2 \sum_{i < j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{4m} \sum_{i,j=1}^n (h_{ij}^r)^2,$$

or equivalently,

$$\begin{aligned}
n(n-1)c + 12sc + \frac{n^2}{2} \|H\|^2 - 2\lambda n + 2\lambda + \sum_{l=1}^n \sum_{j=1}^n A(e_j, e_l, e_l, e_j) & \tag{28} \\
\geq \rho - \sum_{1 \leq \alpha \neq \beta \leq n-1} h_{\alpha\alpha}^{n+1} h_{\beta\beta}^{n+1} + 2 \sum_{i < j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{4m} \sum_{i,j=1}^n (h_{ij}^r)^2.
\end{aligned}$$



We characterise two different cases:

- (a)  $e_n \in D_p^\perp$ ;
- (b)  $e_n \in D_p$ .

Using Gauss equation in case (a) we obtain

$$\begin{aligned} \rho - \sum_{1 \leq \alpha \neq \beta \leq n-1} h_{\alpha\alpha}^{n+1} h_{\beta\beta}^{n+1} + 2 \sum_{i < j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{4m} \sum_{i,j=1}^n (h_{ij}^r)^2 = 2S(e_n, e_n) + 12sc + \quad (29) \\ (n-1)(n-2)c + 2 \sum_{i < n} (h_{in}^{n+1})^2 + \sum_{r=n+2}^{4m} \left\{ (h_{nn}^r)^2 + 2 \sum_{i=1}^{n-1} (h_{in}^r)^2 + \left( \sum_{j=1}^{n-1} h_{jj}^r \right)^2 \right\}. \end{aligned}$$

By equations (28) and (29) we have

$$\begin{aligned} \frac{n^2}{4} \|H\|^2 + (n-1)c - 2\lambda n + 2\lambda + \alpha(e_n, e_n)(n-2) + \lambda \\ \geq S(e_n, e_n) + 2 \sum_{i < n} (h_{in}^{n+1})^2 + \sum_{r=n+2}^{4m} \left\{ \sum_{i=1}^n (h_{in}^r)^2 + \left( \sum_{j=1}^{n-1} h_{jj}^r \right)^2 \right\} \quad (30) \end{aligned}$$

Then we get

$$S(e_n, e_n) \leq (n-1)c + \frac{n^2}{4} \|H\|^2 - (2n-3)\lambda + (n-2)\alpha(e_n, e_n).$$

Using Gauss equation in case (b) we find similarly

$$\begin{aligned} \rho - \sum_{1 \leq \alpha \neq \beta \leq n-1} h_{\alpha\alpha}^{n+1} h_{\beta\beta}^{n+1} + 2 \sum_{i < j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{4m} \sum_{i,j=1}^n (h_{ij}^r)^2 \\ = 2S(e_n, e_n) + 12sc + (n-1)(n-2)c + 2 \sum_{i < n} (h_{in}^{n+1})^2 \\ + \sum_{r=n+2}^{4m} \left\{ (h_{nn}^r)^2 \right\} + 2 \sum_{i=1}^{n-1} (h_{in}^r)^2 + \left( \sum_{j=1}^{n-1} h_{jj}^r \right)^2 - \frac{3c}{2} \sum_{i=1}^3 \|T_i e_n\|^2, \quad (31) \end{aligned}$$

leading to the second inequality of theorem.

If  $H(p) = 0$ , then the equality holds in (24) and (25), respectively, if and only if

$$\begin{aligned} h_{1n}^r = \dots = h_{n-1,n}^r = 0, \\ h_{nn}^r = \sum_{i=1}^{n-1} h_{ii}^r, \end{aligned}$$

where  $r \in \{n+1, \dots, 4m\}$ , then  $h_{in}^r = 0, \forall i \in \{1, \dots, n\}$ , i.e.  $X \in D_p^\perp \cap N_p$  (respectively  $X \in D_p \cap N_p$ ),  $N_p$  being the relative null space of  $M$  at a point  $p \in M$  defined by

$$N_p = \{X \in T_p M \mid h(X, Y) = 0, \forall Y \in T_p M\}.$$

□

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