

AFFINE DEFORMATIONS OF MINKOWSKI SPACES

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Communicated to:

Finsler Extensions of Relativity Theory, August 29 - September 4, 2011, Braşov, Romania

Abstract

We investigate generalized Berwald spaces \mathcal{B}^n over \mathbb{R}^n (local theory). These are Finsler spaces admitting metric linear connections Γ^* over $T\mathbb{R}^n$. (If Γ^* is torsion free, then \mathcal{B}^n is a Berwald space.)

An affine deformation is a regular linear transformation of each $T_p\mathbb{R}^n$. This takes the indicatrices of a Minkowski space \mathcal{M}^n into other indicatrices, and thus it leads to a new Finsler space.

We prove that any \mathcal{B}^n is the affine deformation of an \mathcal{M}^n , and conversely. We show that any \mathcal{B}^n can be represented by a pair (V^n, \mathcal{M}^n) of a Riemannian and a Minkowski space. Several properties of \mathcal{B}^n will be expressed by properties of V^n or \mathcal{M}^n . Also the linear automorphisms of the indicatrices will be investigated.

2000 *Mathematics Subject Classification*: 53B40, 51B20.

Key words: Minkowski space, generalized Berwald space, affine deformation.

1 Introduction

In metric differential geometry, like Finsler geometry, one can study arc length, angle, area, geodesics, motion, etc. using the metric only, but a number of important questions need relation between the tangent vectors. This is provided by a connection. But a connection is really effective if it is linear and metric. Unfortunately such a connection does not exist (in general) in Finsler geometry, at least not among the tangent vectors of the base manifold \mathbb{R}^n . This deficiency was surmounted by introducing the line-elements. However this made the apparatus more combined and difficult. Nevertheless there are important special Finsler spaces in which there exists metric linear connection in the tangent bundle $T\mathbb{R}^n$. Such are, among others, the Riemannian and the Minkowski spaces.

In this paper we investigate affine deformations of Minkowski spaces. Affine deformation means a regular linear transformation in each tangent space $T_x\mathbb{R}^n$. We show that also the spaces arising from a Minkowski space by affine deformation admit linear metric connections for the vectors of $T\mathbb{R}^n$. We detect and describe several properties of the

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affine deformation of Minkowski spaces. Several results are near to those of Y. Ichijyō [I]. We often apply direct geometric considerations rather than analytic calculations. These sometimes lead to quick results.

2 Relations between generalized Berwald spaces and Minkowski spaces

Our investigations will be local, nevertheless more results remain valid also over certain global manifolds. So our base manifolds will be \mathbb{R}^n . The indicatrices $I(x)$ of a Finsler space $F^n = (\mathbb{R}^n(x), \mathcal{F}(x, y))$ are defined by

$$I(x) := \{y \in T_x\mathbb{R}^n \mid \mathcal{F}(x, y) = 1\}.$$

The indicatrix bundle $\{I(x)\}$ and the Finsler metric function $\mathcal{F}(x, y)$ uniquely determine each other

$$\{I(x)\} \xleftrightarrow{1:1} \mathcal{F}(x, y).$$

Thus we can write $F^n = (\mathbb{R}^n(x), I(x))$ in place of $F^n = (\mathbb{R}^n(x), \mathcal{F}(x, y))$. Indicatrices $I(x)$ seem to be more adequate for geometric considerations, than the Finsler function $\mathcal{F}(x, y)$.

Definition. An affine deformation in \mathbb{R}^n is a family of invertible (or, equivalently, regular) linear transformations

$$\mathbf{a}(x) : T_x\mathbb{R}^n \rightarrow T_x\mathbb{R}^n, \quad (1)$$

depending smoothly on x . If the components of $\mathbf{a}(x)$ are denoted by $a_k^i(x)$, $\det(a_k^i(x)) \neq 0$, then (1) means $a_k^i(x)\xi^k = \bar{\xi}^i$, $\xi \in T_x\mathbb{R}^n$. This family (which is, in fact, a $(1, 1)$ tensor on \mathbb{R}^n) is denoted by \mathfrak{A} .

$$\mathbf{a}(x)I(x) = \bar{I}(x) \quad (2)$$

is an affine deformation of the indicatrix $I(x)$, and it is another indicatrix $\bar{I}(x)$. Thus the affine deformation $\mathfrak{A}F^n$ of a Finsler space F^n is the Finsler space

$$\mathfrak{A}F^n := (\mathbb{R}^n(x), \mathbf{a}(x)I(x)) = (\mathbb{R}^n(x), \bar{I}(x)).$$

The affine deformation $\mathfrak{A}E^n$ of the Euclidean space $E^n = (\mathbb{R}^n(x), S)$, where S is the Euclidean unit sphere, is a Riemannian space V^n :

$$\mathfrak{A}E^n = (\mathbb{R}^n(x), \mathbf{a}(x)S) = (\mathbb{R}^n(x), Q(x)) =: V^n,$$

where

$$\mathbf{a}(x)S = Q(x) \quad (3)$$

are ellipsoids. Given a bundle $\{Q(x)\}$, (3) is solvable for $\mathbf{a}(x)$. So every V^n is an affine deformation of E^n .

Let $\mathcal{M}^n = (\mathbb{R}^n(x), I_0)$ be a Minkowski space in an adapted coordinate system (x) , where I_0 is independent of x . Then the indicatrices $I_0(x)$ are parallel translates of each other, and

$$\mathfrak{A}\mathcal{M}^n = (\mathbb{R}^n(x), \mathbf{a}(x)I_0) = (\mathbb{R}^n(x), \bar{I}(x)) = F^n$$

is a Finsler space, which is not a Minkowski space any longer (except if $\mathbf{a}(x)$ does not depend on x).

Finally any two Riemannian spaces V_1^n and V_2^n are affine deformations of each other. The affine deformation of a Finsler space is another Finsler space, however two Finsler spaces are not affine deformation of each other in general.

A Berwald space is a Finsler space in which the coefficients of the Berwald connection are independent of the point x . They admit metric linear connections in the tangent bundle, but this property is not characteristic for them. (For details we refer to [SzLK].) The Finsler spaces admitting metric linear connections in the tangent bundle $T\mathbb{R}^n$ are the *generalized Berwald spaces* denoted in this paper by \mathcal{B}^n .

Our basic result is

Theorem 1. *Every generalized Berwald space \mathcal{B}^n is an affine deformation of a Minkowski space, and conversely.*

This result is closely related, and partially coincides with that of Y. Ichijyō [I] (see also L. Tamássy [Ta]).

Proof. I/ Any $\mathfrak{A}\mathcal{M}^n$ admits a metric linear connection Γ^* , and thus it is a \mathcal{B}^n .

Let (x) be an adapted coordinate system for the Minkowski space $\mathcal{M}^n = (\mathbb{R}^n(x), I_0)$. Its affine deformation is $\mathfrak{A}\mathcal{M}^n = (\mathbb{R}^n(x), \mathbf{a}(x)I_0) = F^n = (\mathbb{R}^n(x), I(x))$. Let x_0 and \bar{x} be two arbitrary points of \mathbb{R}^n , and $x(t)$ a curve, such that $x(0) = x_0$ and $\bar{x} = x(\bar{t})$. Let $\mathbf{b}(x)$ be the inverse of $\mathbf{a}(x)$, and $\mathbf{i} : T_{x_0}\mathbb{R}^n \rightarrow T_{\bar{x}}\mathbb{R}^n$ the canonical linear isomorphism. We define

$$\mathbf{p}(x_0, \bar{x}) := \mathbf{a}(\bar{x}) \circ \mathbf{i} \circ \mathbf{b}(x_0).$$

Displaying by a sketchy diagram:

$$\begin{array}{ccccc} T\mathbb{R}^n & & \xi(0) & & \xi(\bar{t}) \\ \mathfrak{A}\mathcal{M}^n & & I(x_0) & \xrightarrow{\mathbf{p}} & I(\bar{x}) \\ & & \uparrow \mathbf{a}(x_0) & & \uparrow \mathbf{a}(\bar{x}) \\ \mathcal{M}^n & & I_0 & \xrightarrow{\mathbf{i}} & I_0 \\ \mathbb{R}^n & & x(0) & \xrightarrow{x(t)} & \bar{x} = x(\bar{t}) \end{array}$$

Obviously, \mathbf{p} is a linear transformation. It takes $T_{x_0}\mathbb{R}^n$ into $T_{\bar{x}}\mathbb{R}^n$, and a vector $\xi_0 \in T_{x_0}\mathbb{R}^n$ into $\xi(x(\bar{t})) \in T_{\bar{x}}\mathbb{R}^n$. Then, in local coordinates,

$$\xi^i(x(t)) = a_k^i(x(t))b_s^k(x_0)\xi_0^s.$$

From this

$$\frac{d\xi^i}{dt}\Big|_{t=0} = \frac{\partial a_k^i}{\partial x^r}(x_0)b_s^k(x_0)\dot{x}^r\xi_0^s,$$

hence

$$\Gamma_{r\ s}^*{}^i(x) := -\frac{\partial a_k^i}{\partial x^r}(x)b_s^k(x) \tag{4}$$

are the coefficients of a linear connection Γ^* induced by the parallel translation $\mathfrak{p}(x_0, x)$. However $\mathfrak{p}(x_0, x)I_0 = I(x)$. This means that Γ^* is metric. Thus $\mathfrak{A}\mathcal{M}^n$ is a \mathcal{B}^n .

II/ Any $\mathcal{B}^n = (\mathbb{R}^n(x), \bar{I}(x))$ is an affine deformation of an \mathcal{M}^n .

\mathcal{B}^n determines a metric, linear connection Γ^* , and a parallel translation \mathfrak{p} . First we construct a Minkowski space \mathcal{M}^n , for which (x) is an adapted coordinate system. Let x_0 be an arbitrarily chosen fixed point of \mathbb{R}^n , and let $I_0 := \bar{I}(x)$. Then $I_0 = \mathfrak{i}_1 I_0(x)$ and $I(x_0) = \mathfrak{i}_2 I_0$. (Replace \mathfrak{i} by \mathfrak{i}_1^{-1} and $\mathfrak{a}(x_0)$ by \mathfrak{i}_2 in the diagram above.) We define

$$\mathfrak{a}(x) := \mathfrak{p}(x_0, x) \circ \mathfrak{i}_2 \circ \mathfrak{i}_1.$$

This $\mathfrak{a}(x)$ takes the indicatrix $I_0(x) = I_0$ of \mathcal{M}^n into the indicatrix $\bar{I}(x)$ of \mathcal{B}^n , therefore $\mathcal{B}^n = \mathfrak{A}\mathcal{M}^n$. \square

Theorem 2. *The curvature R^* of Γ^* vanishes.*

This is so, for the parallel translation \mathfrak{p} arisen from the metric linear connection Γ^* is independent of the curve joining x_0 and \bar{x} .

3 Properties of the generalized Berwald spaces

M. Matsumoto and H. Shimada introduced and investigated Finsler spaces with 1-form metric [MS]. These are Finsler spaces $F^n = (\mathbb{R}^n(x), \mathcal{F}(x, y))$, such that there exists a scalar function $G : \mathbb{R}^n \rightarrow \mathbb{R}$, such that

$$\mathcal{F}(x^i, y^j) = G(a_k^i(x)y^k). \quad (5)$$

We show

Theorem 3. *Finsler spaces F^n with 1-form metric are generalized Berwald spaces \mathcal{B}^n , and conversely.*

Proof. I/ Any Finsler space $F^n = (\mathbb{R}^n(x), \mathcal{F}(x, y))$ with 1-form metric is a generalized Berwald space \mathcal{B}^n .

Let $F^n = (\mathbb{R}^n(x), \mathcal{F}(x, y))$ be a Finsler space with 1-form metric. Then we have a function $G : \mathbb{R}^n \rightarrow \mathbb{R}$ and a bundle $\mathfrak{A} = \{\mathfrak{a}(x)\}$ with coefficients $a_k^i(x)$, which satisfy (5). Let us deform F^n by $\mathfrak{B} = \{\mathfrak{b}(x)\}$, where $\mathfrak{b} = \mathfrak{a}^{-1}$. Then

$$\mathfrak{B}F^n = (\mathbb{R}^n(x), G(b_i^j(x)a_k^i(x)y^k)) = (\mathbb{R}^n(x), G(y)).$$

The metric function of $\mathfrak{B}F^n$ is $G(y)$. This does not depend of x . Therefore $\mathfrak{B}F^n$ is a Minkowski space \mathcal{M}^n . From this $F^n = \mathfrak{A}\mathcal{M}^n$, which, by Theorem 1 is a \mathcal{B}^n .

II./ Conversely, any $\mathcal{B}^n = \mathfrak{A}\mathcal{M}^n$ is a Finsler space $F^n = (\mathbb{R}^n(x), \mathcal{F}(x, y))$ with 1-form metric.

Let $\mathcal{M}^n = (\mathbb{R}^n(x), G(y)) = (\mathbb{R}^n(x), I_0)$. Then

$$\mathcal{B}^n = \mathfrak{A}\mathcal{M}^n = (\mathbb{R}^n(x), G(\mathfrak{a}y)) = (\mathbb{R}^n(x), G(a_k^i(x)y^k)).$$

But \mathcal{B}^n is a Finsler space $F^n = (\mathbb{R}^n(x), \mathcal{F}(x, y))$. Then the metric function of $F^n = \mathcal{B}^n$ has the form $\mathcal{F}(x^i, y^j) = G(a_k^i(x)y^k)$, that is \mathcal{B}^n is a Finsler space with 1-form metric. \square

Let us consider a Riemannian space V^n , whose indicatrices are the ellipsoids $Q(x)$. Any ellipsoid $Q(x)$ is the affine deformation of the Euclidean sphere: $Q(x) = \mathbf{a}(x)S$, as we have seen it in (3). Given a $Q(x)$ and an S , $\mathbf{a}(x)$ is unique in (3) up to a rotation of S , which can be fixed by requiring that the coordinate axes of E^n are mapped by $\mathbf{a}(x)$ into the axes of the ellipsoids. Conversely, given an $\mathbf{a}(x)$, the image $Q(x)$ is unique. In this sense the relation between $\mathbf{a}(x)$ and $Q(x)$, and between \mathfrak{A} and $V^n = (\mathbb{R}^n(x), Q(x))$ is 1 : 1. Then (V^n, \mathcal{M}^n) determines $\mathcal{B}^n = \mathfrak{A}\mathcal{M}^n$, and conversely. This is a representation of \mathcal{B}^n . These yield

Theorem 4. *Any generalized Berwald space \mathcal{B}^n is determined by a pair (V^n, \mathcal{M}^n) , and conversely.*

Proposition 1. *The generalized Berwald spaces (V_0^n, \mathcal{M}^n) with fix V_0^n and arbitrary \mathcal{M}^n have the same metric linear connection Γ^* .*

This is so, for by (4) Γ^* is determined by $\mathbf{a}(x)$, that is by V_0^n , and it is independent of \mathcal{M}^n .

We present several further properties of generalized Berwald spaces. Proofs sometimes will only be sketchy.

Theorem 5. *A/ $\mathcal{B}^n = (V^n, \mathcal{M}^n) = \mathfrak{A}\mathcal{M}^n$ is the \mathcal{M}^n appearing in the representation if and only if for every $i_0 \in \{1, \dots, n\}$ the 1-form $(a_k^{i_0}(x))$ is closed. In this case $V^n = E^n$.*

B/ $\mathcal{B}^n = (V^n, \mathcal{M}^n)$ is the Riemannian space V^n appearing in the representation if and only if $\mathcal{M}^n = E^n$.

C/ $\mathcal{B}^n = E^n$ if and only if $\mathcal{M}^n = E^n$, and, if the $(a_k^{i_0}(x))$'s are closed 1-forms.

Proof. A/ If the $(a_k^{i_0}(x))$'s are closed, then $\mathbf{a}(x)$ is a coordinate transformation: $(a)(x^i) \rightarrow (\bar{x}^i)$. Then $I_0 \rightarrow \mathbf{a}(x)I_0 = \bar{I}(x)$ is the result of (a), that is $\mathcal{M}^n = (\mathbb{R}^n(x), I_0)$ and $(\mathbb{R}^n(x), \bar{I}(x)) = \mathcal{B}^n$ have the same structures, but in different coordinate systems. Furthermore if the $(a_k^{i_0}(x))$'s are closed 1-forms, then, because of $Q(x) = \mathbf{a}(x)S$, V^n has vanishing curvature, that is $V^n = E^n$.

The converse can easily be seen.

B/ We know that

$$\mathcal{B}^n = (V^n, \mathcal{M}^n) = \mathfrak{A}\mathcal{M}^n = (\mathbb{R}^n(x), \bar{I}(x)), \quad V^n = (\mathbb{R}^n(x), Q(x)),$$

$$Q(x) \stackrel{(b)}{=} \mathbf{a}(x)S \quad \text{and} \quad \mathbf{a}(x)I_0 \stackrel{(c)}{=} \bar{I}(x).$$

In our case $Q(x) = \bar{I}(x)$, and thus we have $I_0 = S$ by (b) and (c). This means that $\mathcal{M}^n = \mathcal{B}^n$. The converse follows similarly.

One can see that $(V^n, \mathcal{M}^n) = V_1^n$ with a $V_1^n \neq V^n$ is not possible. These mean that if a \mathcal{B}^n is a Riemannian space, then it must be the Riemannian space appearing in the representation (V^n, \mathcal{M}^n) , and in this case $\mathcal{M}^n = E^n$.

C/ We have seen that $\mathcal{M}^n = E^n$ if and only if $\mathcal{B}^n = V^n$ with $Q(x) = \mathbf{a}(x)S$. Then $V^n = (\mathbb{R}^n(x), Q(x)) = \mathfrak{A}E^n$. If $a_k^{i_0}(x)$ are closed 1-forms, then $\mathfrak{A}E^n = E^n$, and $V^n = E^n$. \square

Theorem 6. A/ *Between Minkowski spaces there exists no proper conformal relation.*

B/ \mathcal{B}_1^n is conformal to \mathcal{B}_2^n if and only if $\mathcal{M}_1^n = \mathcal{M}_2^n$ and V_1^n is conformal to V_2^n .

C/ \mathcal{B}^n is conformally flat if and only if $\mathcal{M}^n = E^n$ and V^n is conformally flat.

D/ Any conformally flat Finsler space F^n is a Riemannian space V^n .

Proof. A/ Let (x) be an adapted coordinate system for $\mathcal{M}_1^n = (\mathbb{R}^n(x), I_1)$ and $\mathcal{M}_2^n = (\mathbb{R}^n(x), I_2)$, where I_1 and I_2 are independent of x . If they are in conformal relation, then $I_2 = \sigma(x)I_1$. However I_1 and I_2 are independent of x . Consequently σ must also be a constant c . If we denote $\bar{x}^i = cx^i$, then $I_1(x) = I_2(\bar{x})$, and $\mathcal{M}_1^n = \mathcal{M}_2^n$.

B/ If $\mathcal{B}_1^n = (\mathbb{R}^n(x), \bar{I}_1(x)) = \mathfrak{A}_1\mathcal{M}_1^n = \mathfrak{A}_1(\mathbb{R}^n(x), I_1)$ is conformal to $\mathcal{B}_2^n = (\mathbb{R}^n(x), \bar{I}_2(x)) = \mathfrak{A}_2\mathcal{M}_2^n = \mathfrak{A}_2(\mathbb{R}^n(x), I_2)$, then $\bar{I}_1(x) \stackrel{(d)}{=} \sigma(x)\bar{I}_2(x)$, and $I_1(x) \stackrel{(e)}{=} \tilde{\mathfrak{a}}(x)I_2$, where I_1 and I_2 are the indicatrices of \mathcal{M}_1^n and \mathcal{M}_2^n . From (d) we obtain $\mathcal{M}_1^n = \mathcal{M}_2^n (= \mathcal{M}_0^n)$, and $\mathfrak{a}_1 = \mathfrak{a}_2 (= \mathfrak{a}_0)$. These, with (e) yield a conformal relation between V_1^n and V_2^n .

C/ This is a special case of B/, where $\mathcal{B}_2^n = E^n$.

D/ If $F^n = (\mathbb{R}^n, I(x))$ is conformally flat, then $I(x) = \sigma(x)S$. But $\sigma(x)S$ is an ellipsoid $Q(x)$. Thus $F^n = (\mathbb{R}^n(x), Q(x)) = V^n$. \square

A characterization of the generalized Berwald spaces is given by

Theorem 7. *A Finsler space $F^n = (\mathbb{R}^n(x), I(x))$ is a \mathcal{B}^n if and only if there exists an indicatrix $I(x_0)$, which is in affine relation with any other $I(x)$ (i.e. if any $I(x)$ is the affine deformation of $I(x_0)$).*

Namely in this case we have a linear transformation $\mathfrak{p}(x_1, x_2)$, which takes $I(x_1)$ into $I(x_2)$, and this induces a metric linear connection Γ^* .

From these it is easy to see that a \mathcal{B}^n is a V^n if and only if one of its indicatrices is an ellipsoid.

Theorem 8. *A $\mathcal{B}^n = (V^n, \mathcal{M}^n)$ is projectively flat if and only if V^n is projectively flat.*

The proof relies on the observation that a curve is a geodesic iff the osculation points of the geodesic spheres centered at the curve lie on the curve.

4 Decomposition of M and motions

Definition. Given a Finsler space $F^n = (\mathbb{R}^n, I)$, a regular linear transformation $\mathfrak{k}(x)$ of the tangent space $T_x\mathbb{R}^n$ is an *affine automorphism* of $I(x)$ if it takes the indicatrix $I(x)$ as a whole into itself:

$$\mathfrak{k}(x)I(x) = I(x).$$

The set $\{\mathfrak{k}(x)\}$ of all $\mathfrak{k}(x)$ at a point x form an Abelian group $\mathfrak{K}(x)$. In case of a \mathcal{B}^n $\mathfrak{K}(x)$ is independent of x . $I(x)$ is called *rigid*, if $\mathfrak{K}(x)$ consists of the identity only: $|\mathfrak{K}(x)| = 1$, and it is called *mobile* if $|\mathfrak{K}(x)| > 1$.

P. Gruber [G] proved (see also A. C. Thompson [Th] p. 83) that in case of an F^2 , $I(x_0)$ is an ellipse iff $|\mathfrak{K}(x_0)| = \infty$. If $|\mathfrak{K}(x)| = \infty$ for every x , then $F^2 = V^2$.

In case of a $\mathcal{B}^2 = (\mathbb{R}^n, I)$ from $|\mathcal{K}(x_0)| = \infty$ it follows that $\mathcal{B}^2 = V^2$. Also if \mathbb{R}^n is simply connected, and the holonomy group of \mathcal{B}^2 is not the identity, then $\mathcal{B}^2 = V^2$.

In a Finsler space $F^n = (\mathbb{R}^n, I)$ two points x_1 and x_2 are in *affine relation* if the indicatrices $I(x_1)$ and $I(x_2)$ are affine deformations of each other:

$$x_1 \sim x_2 \Leftrightarrow I(x_2) = \mathbf{a}(x_1, x_2)I(x_1),$$

where $\mathbf{a}(x_1, x_2)$ is a regular linear map taking $T_{x_1}\mathbb{R}^n$ into $T_{x_2}\mathbb{R}^n$. This equivalence relation decomposes \mathbb{R}^n into equivalence classes M_α , $\alpha \in \mathcal{A}$. One can prove that each M_α is a closed set with respect to the metric arising from the Finsler function. F^n restricted to an M_α is a $\mathcal{B}^n \upharpoonright M_\alpha$. Conformal or isometric mappings $F^n = (\mathbb{R}^n, I) \rightarrow \bar{F}^n = (\mathbb{R}^n, \bar{I})$ keep the equivalence structure.

In case of a motion the orbits are within an M_α .

Theorem 9. *If $F^n = (\mathbb{R}^n, I)$ admits a transitive continuous group of motions, then F^n is a \mathcal{B}^n .*

Proof. In this case \mathbb{R}^n constitutes a single equivalence class, therefore $F^n = (\mathbb{R}^n, I)$ is the same as $\mathcal{B}^n = (\mathbb{R}^n, I)$. Our theorem follows also from the fact that the tangent linear map of a transitive motion takes $I(x_0)$ into any other $I(x)$. Then the indicatrices of F^n are in affine relation with each other, and then, by Theorem 7, $F^n = \mathcal{B}^n$. \square

We state that any equivalence class consisting of a single point must be a fixed point of any motion of F^n . Finally, we can prove rather easily that if a 2-dimensional Finsler space F^2 with reversible metric (i.e., with symmetric indicatrices) admits a continuous group of motions, and in the decomposition of \mathbb{R}^n there are two equivalence classes M_1 and M_2 consisting of a single point x_1 and x_2 , resp., and the injectivity radii ι satisfy $\iota(x_1) + \iota(x_2) > \varrho(x_1, x_2)$ (ϱ denotes the Finslerian distance), then F^2 is diffeomorphic to S^2 .

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